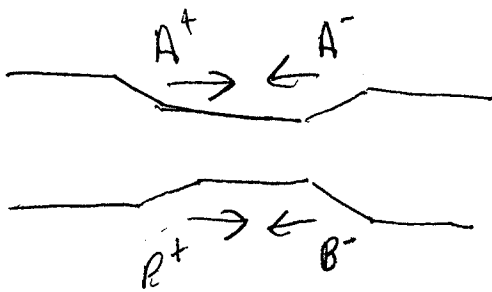
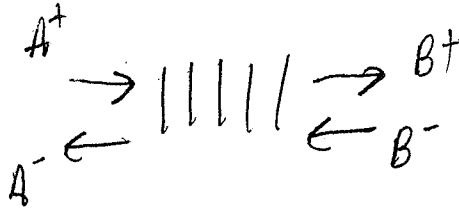


Coupled Mode Theory



2x2 coupler



Bragg grating

scattering from one ~~one~~ mode to another via dielectric perturbation. start off with eigenmodes of unperturbed system, which satisfy

assume TE

$$\nabla^2 E_y = \epsilon \mu \frac{\partial^2 E_y}{\partial t^2}$$

$$E_{yi} = \frac{1}{2} A_i E_{yi} e^{-j(\beta_i z - \omega t)} + c.c.$$

$A_i$  = ampl. of mode  $i$   
 $E_{yi}$  = mode field dist.

w/o perturbation, no mode coupling

$$D = \epsilon E + P_{pert}$$

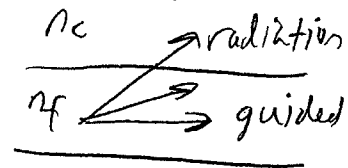
$$\nabla^2 E_y = \mu \epsilon \frac{\partial^2 E_y}{\partial t^2} + \mu \frac{\partial^2 P_{pert}}{\partial t^2}$$

any field dist. can be described as superposition of eigenmodes of system

$$E(x) = \frac{1}{2} \sum_i A_i^+(z) E_i(x) e^{-j(\beta_i z - \omega t)}$$

$$+ \frac{1}{2} \sum_i A_i^-(z) E_i(x) e^{j(\beta_i z + \omega t)}$$

$$+ \frac{1}{2} \int_{k_{ons}}^{k_{off}} A(\beta, z) E_\beta(x) e^{-j(\beta z - \omega t)} d\beta + c.c.$$



(forward/backward) identical

$d\beta + c.c.$  (radiation modes)

want to find coefficients  $A$

plug ~~into~~  $E(x)$  into wave eqn.

ignore radiation modes for now

$$\frac{1}{2} \sum_i \left[ \frac{\partial^2 A_i^+}{\partial z^2} - 2j\beta_i \frac{\partial A_i^+}{\partial z} \right] \epsilon_i(x) e^{-j(\beta_i z - \omega t)}$$

$$+ \frac{1}{2} \sum_i \left[ \frac{\partial^2 A_i^-}{\partial z^2} + 2j\beta_i \frac{\partial A_i^-}{\partial z} \right] \epsilon_i(x) e^{j(\beta_i z + \omega t)} + cc$$

$$= \mu \frac{\partial^2 P_{pert}}{\partial t^2}$$

(remember  $\epsilon_i(x) e^{\pm j\beta_i z}$  is a solution to unperturbed wave eq.)

assume slow variation

$$\partial^2 A_i / \partial z^2 \ll 2\beta_i \partial A_i / \partial z$$

$$\frac{1}{2} \sum_i \left[ -2j\beta_i \frac{\partial A_i^+}{\partial z} \right] \epsilon_i(x) e^{-j(\beta_i z - \omega t)}$$

$$+ \frac{1}{2} \sum_i \left[ 2j\beta_i \frac{\partial A_i^-}{\partial z} \right] \epsilon_i(x) e^{j(\beta_i z + \omega t)} + cc = \mu \frac{\partial^2 P_{pert}}{\partial t^2}$$

simplify using mode orthogonality

$$\frac{1}{2} \int \epsilon_i(x) \times \mathcal{H}_j(x) dx = \delta_{ij} \quad i, j = \text{mode indices}$$

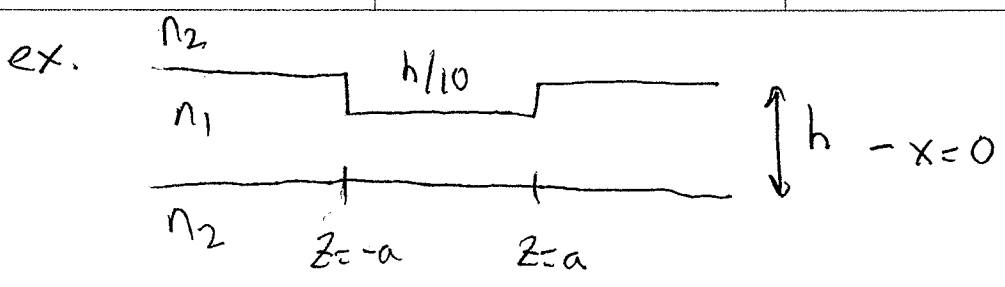
for TE mode,  $\mathcal{H}_x$  can be written in terms of  $E_{yz}$

$$\int \epsilon_i(x) \epsilon_j(x) dx = \frac{2\omega\mu}{\beta_j} \delta_{ij}$$

multiply by  $\epsilon_j$  and integrate

$$\frac{\partial A_j^-}{\partial z} e^{j(\beta_j z + \omega t)} - \frac{\partial A_j^+}{\partial z} e^{-j(\beta_j z - \omega t)} + cc$$

$$= -\frac{j}{2\omega} \frac{\partial^2}{\partial t^2} \int P_{pert}(x) \cdot \epsilon_j(x) dx$$



unperturbed modes

$$E_y = C e^{-\gamma(x-h/2)} \quad x > h/2$$

$$C \cos kx / \cos(kh/2) \quad |x| < h/2$$

$$C e^{\gamma(x+h/2)} \quad x < -h/2$$

perturbation

$$\Delta \epsilon = \epsilon_0 (n_2^2 - n_1^2)$$

$$P_{\text{pert}}(x) = \Delta \epsilon E_1$$

$$= \epsilon_0 (n_2^2 - n_1^2) \left[ \frac{1}{2} A^+ E(x) e^{-j(\beta z - \omega t)} + c.c. \right]$$

for  $0.4h < x < 0.5h, -a < z < a$   
 $= 0$  elsewhere

$$\frac{\partial A^-}{\partial z} e^{j(\beta z + \omega t)} - \frac{\partial A^+}{\partial z} e^{-j(\beta z - \omega t)} = \frac{-j}{2\omega} \int_{.4h}^{.5h} P_{\text{pert}} E_y(x) dx$$

$$= -\frac{j}{2\omega} \frac{\partial^2}{\partial z^2} \int \epsilon_0 (n_2^2 - n_1^2) / c^2 \left( \frac{\cos kx}{\cos kh/2} \right)^2 \cdot \frac{1}{2} A^+ e^{-j(\beta z - \omega t)} dx$$

$$= \frac{j\omega \epsilon_0 (n_2^2 - n_1^2)}{2} \left[ \frac{1}{2} A^+ e^{-j(\beta z - \omega t)} \right] \int / c^2 \left( \frac{\cos kx}{\cos kh/2} \right)^2 dx$$

$$= jK A^+ e^{-j(\beta z - \omega t)}$$

$K = \text{coupling constant} = \frac{\omega \epsilon_0 (n_2^2 - n_1^2)}{4} \int / c^2 \left( \frac{\cos kx}{\cos kh/2} \right)^2 dx$   
 $-a < z < a$   
 $= 0$  elsewhere

equation of motion

$$\frac{\partial A^-}{\partial z} e^{2j\beta z} - \frac{\partial A^+}{\partial z} e^{-2j\beta z} = jKA^+$$

integrate from  $-a < z < a$

if  $2a \gg \lambda/2\beta$ , then 1<sup>st</sup> term averages to zero and we have

$$-\frac{\partial A^+}{\partial z} = jKA^+ \Rightarrow A^+(z) = A^+(-a) e^{-jKz}$$

perturbation alters phase of  $A^+$

so forward wave  $E(z) = \frac{A^+(-a)}{2} e^{-j(\beta+K)z} \quad -a < z < a$

to deal w/  $A^-$ , we assume  $\partial A^+/\partial z = 0$

so that

$$\frac{\partial A^-}{\partial z} = jKA^+ e^{-2j\beta z} \quad -a < z < a$$

$$\Rightarrow A^-(-a) = -j \frac{KA^+}{\beta} \sin[2\beta a]$$

$$A^-(a) = 0 \quad (\text{backward wave})$$

coupling max. when  $2\beta a = \pi/2 \Rightarrow a = \pi/4\beta$

$$\beta = 2\pi n_{eff}/\lambda \quad a = \lambda/8n_{eff} \quad 2a = \lambda/4n_{eff}$$

$$2\beta a = (q + 1/2)\pi \Rightarrow a = \frac{(q + 1/2)\pi}{2\beta}$$