## Formal Derivation of Covering Problem

- Each choice is represented with a Boolean variable  $x_i$ .
- $x_i = 1$  implies choice has been included in the solution.
- $x_i = 0$  implies choice has not been included in the solution.
- Covering problem is expressed as a product-of-sums, F.
- Each product (or clause) represents a constraint.
- Each clause is sum of choices that satisfy the constraint.
- Goal: find  $x_i$ 's which satisfy all constraints with minimum cost.

$$cost = \min \sum_{i=1}^{t} w_i x_i \tag{1}$$

# **Example Covering Problem**

$$f = x_1 \overline{x_2} (\overline{x_3} + x_4) (\overline{x_3} + x_4 + x_5 + x_6) (\overline{x_1} + x_4 + x_5 + x_6) (\overline{x_4} + x_1 + x_6) (\overline{x_5} + x_6)$$

#### Unate versus Binate

- Unate covering problem choices appear only in their positive form (i.e., uncomplemented).
- Binate covering problem choices appear in both positive and negative form (i.e., complemented).
- Algorithm presented here considers the more general case of the binate covering problem, but solution applies to both.

#### **Constraint Matrix**

- f is represented using a constraint matrix, A.
- Includes a column for each  $x_i$  variable.
- Includes a row for every clause.
- Each entry of the matrix  $a_{ii}$  is:
  - '-' if the variable  $x_i$  does not appear in the clause,
  - '0' if the variable appears complemented, and
  - '1' otherwise.
- i<sup>th</sup> row of A is denoted a<sub>i</sub>.
- $j^{th}$  column is denoted by  $A_j$ .

#### Constraint Matrix Example

$$f = x_1 \overline{x_2} (\overline{x_3} + x_4) (\overline{x_3} + x_4 + x_5 + x_6) (\overline{x_1} + x_4 + x_5 + x_6) (\overline{x_4} + x_1 + x_6) (\overline{x_5} + x_6)$$

$$\mathbf{A} = \begin{bmatrix} x_1 & x_2 & x_3 & x_4 & x_5 & x_6 \\ 1 & - & - & - & - & - \\ - & 0 & - & - & - & - \\ - & - & 0 & 1 & - & - \\ - & - & 0 & 1 & 1 & 1 \\ 0 & - & - & 1 & 1 & 1 \\ 1 & - & - & 0 & - & 1 \\ - & - & - & - & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \\ 7 \end{bmatrix}$$

## **Binate Covering Problem**

 The binate covering problem is to find an assignment to x of minimum cost such that for every row a; either

 ∃*j* . 
$$(a_{ij} = 1) \land (x_j = 1)$$
; or

② 
$$\exists j . (a_{ij} = 0) \land (x_j = 0).$$

## **BCP Algorithm**

```
bcp(A, x, b)
   (\mathbf{A}, \mathbf{x}) = \text{reduce}(\mathbf{A}, \mathbf{x});
  L = lower\_bound(\mathbf{A}, \mathbf{x});
  if (L > cost(b)) then return(b);
  if (terminalCase(A)) then
      if (A has no rows) return(x); else return(b);
   c = \text{choose column}(A);
  x_c = 1; A^1 = \text{select column}(A, c); x^1 = \text{bcp}(A^1, x, b)
  if (\cos t(x^1) < \cot (b)) then
     b = x^1:
      if (cost(b) = L) return(b);
  x_c = 0; \mathbf{A}^0 = \text{remove\_column}(\mathbf{A}, c); \mathbf{x}^0 = \text{bcp}(\mathbf{A}^0, \mathbf{x}, \mathbf{b})
  if (\cos t(x^0) < \cos t(b)) then b = x^0:
  return(b);
```

## Reduce Algorithm

```
\label{eq:continuous_continuous_continuous} \begin{array}{ll} \text{reduce}\left(\textbf{A}, \textbf{x}\right) & \\ \textbf{do} & \\ \textbf{A}' = \textbf{A}; \\ (\textbf{A}, \textbf{x}) & = \text{find\_essential\_rows}\left(\textbf{A}, \textbf{x}\right); \\ \textbf{A} & = \text{delete\_dominating\_rows}\left(\textbf{A}\right); \\ (\textbf{A}, \textbf{x}) & = \text{delete\_dominated\_columns}\left(\textbf{A}, \textbf{x}\right); \\ \text{while} & (\textbf{A} \neq \emptyset \text{ and } \textbf{A} \neq \textbf{A}'); \\ \text{return}\left(\textbf{A}, \textbf{x}\right); \end{array}
```

#### **Essential Rows**

- A row a<sub>i</sub> of A is essential when there exists exactly one j such that a<sub>ij</sub> is not equal to '-'.
- This cooresponds to clause consisting of a single literal.
- If the literal is  $x_i$  (i.e.,  $a_{ii} = 1$ ), the variable is *essential*.
- If the literal is  $\overline{x_i}$  (i.e.,  $a_{ii} = 0$ ), the variable is *unacceptable*.
- The matrix A is reduced with respect to the essential literal.
- This variable is set to value of literal, column is removed, and any row where variable has same value is removed.

## **Essential Rows Example**

$$f = x_1 \overline{x_2} (\overline{x_3} + x_4) (\overline{x_3} + x_4 + x_5 + x_6) (\overline{x_1} + x_4 + x_5 + x_6) (\overline{x_4} + x_1 + x_6) (\overline{x_5} + x_6)$$

$$\mathbf{A} = \begin{bmatrix} x_1 & x_2 & x_3 & x_4 & x_5 & x_6 \\ 1 & - & - & - & - & - \\ - & 0 & - & - & - & - \\ - & - & 0 & 1 & - & - \\ - & - & 0 & 1 & 1 & 1 \\ 0 & - & - & 1 & 1 & 1 \\ 1 & - & - & 0 & - & 1 \\ - & - & - & - & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \\ 7 \end{bmatrix}$$

#### **Essential Rows Example**

$$f = \overline{x_2}(\overline{x_3} + x_4)(\overline{x_3} + x_4 + x_5 + x_6)(x_4 + x_5 + x_6)(\overline{x_5} + x_6)$$

$$\mathbf{A} = \begin{bmatrix} x_2 & x_3 & x_4 & x_5 & x_6 \\ 0 & - & - & - & - \\ - & 0 & 1 & - & - & - \\ - & 0 & 1 & 1 & 1 \\ - & - & 1 & 1 & 1 \\ - & - & 1 & 1 & 1 \\ - & - & 0 & 1 \end{bmatrix} \quad \begin{array}{c} 2 \\ 3 \\ 4 \\ 5 \\ 7 \\ x_1 = 1 \end{array}$$

## **Essential Rows Example**

$$\mathbf{A} = (\overline{x_3} + x_4)(\overline{x_3} + x_4 + x_5 + x_6)(x_4 + x_5 + x_6)(\overline{x_5} + x_6)$$

$$\mathbf{A} = \begin{bmatrix} x_3 & x_4 & x_5 & x_6 \\ 0 & 1 & - & - \\ 0 & 1 & 1 & 1 \\ - & 1 & 1 & 1 \\ - & - & 0 & 1 \end{bmatrix} \quad \begin{array}{c} 3 \\ 4 \\ 5 \\ 7 \\ x_1 = 1, x_2 = 0 \end{array}$$

#### **Row Dominance**

- A row  $a_k$  dominates another row  $a_i$  if it has all 1's and 0's of  $a_i$ .
- Row  $a_k$  dominates another row  $a_i$  if for each column  $A_j$  of **A**, one of the following is true:
  - a<sub>ii</sub> = −
  - $a_{ij} = a_{kj}$
- Removing dominating rows does not affect set of solutions.

## **Row Dominance Example**

$$f = (\overline{x_3} + x_4)(\overline{x_3} + x_4 + x_5 + x_6)(x_4 + x_5 + x_6)(\overline{x_5} + x_6)$$

$$\mathbf{A} = \begin{bmatrix} x_3 & x_4 & x_5 & x_6 \\ 0 & 1 & - & - \\ 0 & 1 & 1 & 1 \\ - & 1 & 1 & 1 \\ - & - & 0 & 1 \end{bmatrix} = \begin{bmatrix} 3 \\ 4 \\ 5 \\ 7 \\ x_1 = 1, x_2 = 0 \end{bmatrix}$$

#### **Row Dominance Example**

$$f = (\overline{x_3} + x_4)(x_4 + x_5 + x_6)(\overline{x_5} + x_6)$$

$$\mathbf{A} = \begin{bmatrix} x_3 & x_4 & x_5 & x_6 \\ 0 & 1 & - & - \\ - & 1 & 1 & 1 \\ - & - & 0 & 1 \end{bmatrix} \quad \begin{array}{c} 3 \\ 5 \\ 7 \\ x_1 = 1, x_2 = 0 \end{array}$$

#### Column Dominance

- A column  $A_j$  dominates another column  $A_k$  if for each clause  $a_i$  of A, one of the following is true:
  - $a_{ii} = 1$ ;
  - $a_{ii} = -$  and  $a_{ik} \neq 1$ ;
  - $a_{ii} = 0$  and  $a_{ik} = 0$ .
- Dominated columns can be removed without affecting the existence of a solution.
- When removing a column, the variable is set to 0 which means any rows including that column with a 0 entry can be removed.

## Column Dominance Example

$$f = (\overline{x_3} + x_4)(x_4 + x_5 + x_6)(\overline{x_5} + x_6)$$

$$\mathbf{A} = \begin{bmatrix} x_3 & x_4 & x_5 & x_6 \\ 0 & 1 & - & - \\ - & 1 & 1 & 1 \\ - & - & 0 & 1 \end{bmatrix} \quad \begin{array}{c} 3 \\ 5 \\ 7 \\ x_1 = 1, x_2 = 0 \end{array}$$

# Column Dominance Example

$$f = (x_4 + x_6)$$

$$\mathbf{A} = \begin{bmatrix} x_4 & x_6 \\ 1 & 1 \end{bmatrix} \quad 5$$

$$x_1 = 1, x_2 = 0, x_3 = 0, x_5 = 0$$

## **Checking Weights**

- If weights are not equal, it is necessary to also check the weights of the columns before removing dominated columns.
- If weight of dominating column,  $w_j$ , is greater than weight of dominated column,  $w_k$ , then  $x_k$  should not be removed.
- Assume  $w_1 = 3$ ,  $w_2 = 1$ , and  $w_3 = 1$ .

$$\mathbf{A} = \begin{bmatrix} x_1 & x_2 & x_3 \\ 1 & 1 & - \\ - & 0 & 1 \end{bmatrix} \quad \frac{1}{2}$$

## **BCP Algorithm**

```
bcp(A, x, b)
   (\mathbf{A}, \mathbf{x}) = \text{reduce}(\mathbf{A}, \mathbf{x});
  L = lower\_bound(\mathbf{A}, \mathbf{x});
  if (L > cost(b)) then return(b);
  if (terminalCase(A)) then
      if (A has no rows) return(x); else return(b);
   c = \text{choose column}(A);
  x_c = 1; A^1 = \text{select column}(A, c); x^1 = \text{bcp}(A^1, x, b)
  if (\cos t(x^1) < \cot (b)) then
     b = x^1:
      if (cost(b) = L) return(b);
  x_c = 0; \mathbf{A}^0 = \text{remove\_column}(\mathbf{A}, c); \mathbf{x}^0 = \text{bcp}(\mathbf{A}^0, \mathbf{x}, \mathbf{b})
  if (\cos t(x^0) < \cos t(b)) then b = x^0:
  return(b);
```

# Bounding

- If solved, cost of solution can be determined by Equation 1.
- Reduced matrix may have a cyclic core.
- Must test whether or not a good solution can be derived from partial solution found up to this point.
- Determine a lower bound, *L*, on the final cost, starting with the current partial solution.
- If L is greater than or equal to the cost of the best solution found, the previous best solution is returned.

## Maximal Independent Set

- Finding exact lower bound is as difficult as solving the covering problem.
- Satisfactory heuristic method is to find a maximal independent set (MIS)
  of rows.
- Two rows are independent when it is not possible to satisfy both by setting a single variable to 1.
- Any row which contains a complemented variable is dependent on any other clause, so we must ignore these rows.

## **Lower Bound Algorithm**

```
lower_bound (A, x)
  MIS = 0
  A = delete_rows_with_complemented_variables (A);
  do
    i = choose_shortest_row (A);
    MIS = MIS \cup \{i\};
    A = delete_intersecting_rows (A, i);
  while (A \neq 0);
  return (|MIS| + cost (x));
```

$$\mathbf{A} = \begin{bmatrix} x_1 & x_2 & x_3 & x_4 & x_5 & x_6 & x_7 & x_8 & x_9 \\ - & - & - & 1 & 1 & - & - & - & - \\ - & - & 1 & - & 1 & - & - & - & - \\ - & - & 1 & - & 1 & 1 & - & - & - \\ - & - & 1 & - & - & - & 1 & - & - \\ - & - & - & 1 & - & - & - & 1 & - \\ - & - & - & 1 & - & - & - & 1 & 1 \end{bmatrix} \quad \begin{array}{c} 3 \\ 4 \\ 5 \\ 6 \\ 8 \\ 9 \\ \end{array}$$

$$MIS = \{1\}$$

$$\mathbf{A} = \begin{bmatrix} x_1 & x_2 & x_3 & x_4 & x_5 & x_6 & x_7 & x_8 & x_9 \\ - & - & 1 & - & - & - & 1 & - & - \end{bmatrix} \qquad \mathbf{6}$$
 
$$MIS = \{1,3\}$$

$$\mathbf{A} = \begin{bmatrix} x_1 & x_2 & x_3 & x_4 & x_5 & x_6 & x_7 & x_8 & x_9 \\ 1 & 1 & - & - & - & - & - & - & - \\ 1 & - & 1 & - & - & - & - & - & - \\ - & - & - & 1 & 1 & - & - & - & - \\ - & - & 1 & - & 1 & 1 & - & - & - \\ - & - & 1 & - & 1 & 1 & - & - & - \\ - & - & 1 & - & - & - & 1 & - & - \\ - & 1 & - & - & - & 1 & 1 & - & - \\ - & - & - & 1 & - & - & - & 1 \\ - & - & - & 1 & - & - & - & 1 & 1 \end{bmatrix}$$

$$MIS = \{1, 3, 6\}$$

## **BCP Algorithm**

```
bcp(A, x, b)
   (\mathbf{A}, \mathbf{x}) = \text{reduce}(\mathbf{A}, \mathbf{x});
  L = lower\_bound(\mathbf{A}, \mathbf{x});
  if (L > cost(b)) then return(b);
  if (terminalCase(A)) then
      if (A has no rows) return(x); else return(b);
   c = \text{choose column}(A);
  x_c = 1; A^1 = \text{select column}(A, c); x^1 = \text{bcp}(A^1, x, b)
  if (\cos t(x^1) < \cot (b)) then
     b = x^1:
      if (cost(b) = L) return(b);
  x_c = 0; \mathbf{A}^0 = \text{remove\_column}(\mathbf{A}, c); \mathbf{x}^0 = \text{bcp}(\mathbf{A}^0, \mathbf{x}, \mathbf{b})
  if (\cos t(x^0) < \cos t(b)) then b = x^0:
  return(b);
```

#### **Termination**

- If A has no more rows, then all the constraints have been satisfied by x, and it is a terminal case.
- If no solution exists, it is also a terminal case.

#### Infeasible Problems

$$f = (x_1 + x_2)(\overline{x_1} + x_2)(x_1 + \overline{x_2})(\overline{x_1} + \overline{x_2})$$

$$\mathbf{A} = \begin{bmatrix} x_1 & x_2 \\ 1 & 1 \\ 0 & 1 \\ 1 & 0 \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} x_1 & x_2 \\ 2 & 3 \\ 4 & 4 \end{bmatrix}$$

## **BCP Algorithm**

```
bcp(A, x, b)
   (\mathbf{A}, \mathbf{x}) = \text{reduce}(\mathbf{A}, \mathbf{x});
  L = lower\_bound(\mathbf{A}, \mathbf{x});
  if (L > cost(b)) then return(b);
  if (terminalCase(A)) then
      if (A has no rows) return(x); else return(b);
   c = \text{choose column}(A);
  x_c = 1; A^1 = \text{select column}(A, c); x^1 = \text{bcp}(A^1, x, b)
  if (\cos t(x^1) < \cot (b)) then
     b = x^1:
      if (cost(b) = L) return(b);
  x_c = 0; \mathbf{A}^0 = \text{remove\_column}(\mathbf{A}, c); \mathbf{x}^0 = \text{bcp}(\mathbf{A}^0, \mathbf{x}, \mathbf{b})
  if (\cos t(x^0) < \cos t(b)) then b = x^0:
  return(b);
```

# Branching

- If A is not a terminal case, matrix is cyclic.
- To find minimal solution, must determine column to branch on.
- A column intersecting short rows is prefered for branching.
- Assign a weight to each row that is inverse of row length.
- Sum the weights of all the rows covered by a column.
- Column  $x_c$  with highest value is chosen for case splitting.

	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> 3		<i>X</i> <sub>4</sub>	<i>X</i> <sub>5</sub>	<i>X</i> <sub>6</sub>	,	<b>(</b> 7	<i>X</i> <sub>8</sub>	<i>X</i> 9		
	1.0	1.3	_			0.8	-			-	0.8		
$\mathbf{A} =$	Γ	1	1	_	_	_	_	_	_		1	1	1/2
		1	_	1	_	_	_	_	_	_		2	1/2
	İ	_	_	_	1	1	_	_	_	_	İ	3	1/2
		_	_	_	1	_	1	_	_	_	İ	4	1/2
		_	_	1	_	1	1	_	_	_	İ	5	1/3
	İ	_	_	1	_	_	_	1	_	_		6	1/2
		_	1	_	_	_	_	1	_	_		7	1/2
		_	_	_	1	_	_	_	1	_		8	1/2
		_	_	_	1	_	_	_	_	1		9	1/2
	L	_	1	_	_	_	_	_	1	1 .		10	1/3

# Branching

- $x_c$  is added to the solution and constraint matrix is reduced.
- bcp is called recursively and result assigned to x<sup>1</sup>.
- If x<sup>1</sup> better than best, record it.
- If  $\mathbf{x}^1$  meets lower bound L, it is minimal.
- If not, remove x<sub>c</sub> from solution and call bcp.
- If  $\mathbf{x}^0$  better than best, return it.

## **BCP Algorithm**

```
bcp(A, x, b)
   (\mathbf{A}, \mathbf{x}) = \text{reduce}(\mathbf{A}, \mathbf{x});
  L = lower\_bound(\mathbf{A}, \mathbf{x});
  if (L > cost(b)) then return(b);
  if (terminalCase(A)) then
      if (A has no rows) return(x); else return(b);
   c = \text{choose column}(A);
  x_c = 1; A^1 = \text{select column}(A, c); x^1 = \text{bcp}(A^1, x, b)
  if (\cos t(x^1) < \cot (b)) then
     b = x^1:
      if (cost(b) = L) return(b);
  x_c = 0; \mathbf{A}^0 = \text{remove\_column}(\mathbf{A}, c); \mathbf{x}^0 = \text{bcp}(\mathbf{A}^0, \mathbf{x}, \mathbf{b})
  if (\cos t(x^0) < \cos t(b)) then b = x^0:
  return(b);
```

$$\mathbf{A} = \begin{bmatrix} x_1 & x_2 & x_3 & x_4 & x_5 & x_6 & x_7 & x_8 & x_9 \\ 1 & 1 & - & - & - & - & - & - & - \\ 1 & - & 1 & - & - & - & - & - & - \\ - & - & - & 1 & 1 & - & - & - & - \\ - & - & 1 & - & 1 & 1 & - & - & - \\ - & - & 1 & - & - & - & 1 & - & - \\ - & 1 & - & - & - & 1 & 1 & - & - \\ - & - & - & 1 & - & - & - & 1 & 1 \\ - & - & - & 1 & - & - & - & 1 & 1 \end{bmatrix}$$

$$\mathbf{A} = \begin{bmatrix} x_1 & x_2 & x_3 & x_5 & x_6 & x_7 & x_8 & x_9 \\ 1 & 1 & - & - & - & - & - & - \\ 1 & - & 1 & - & - & - & - & - \\ - & - & 1 & 1 & 1 & - & - & - \\ - & - & 1 & - & - & 1 & - & - \\ - & 1 & - & - & - & 1 & 1 & 1 \end{bmatrix} \quad \begin{array}{c} 1 \\ 2 \\ 5 \\ 6 \\ 7 \\ - \\ 1 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ \end{array}$$

$$\mathbf{A} = \begin{bmatrix} x_1 & x_2 & x_3 & x_7 \\ 1 & 1 & - & - \\ 1 & - & 1 & - \\ - & - & 1 & - \\ - & - & 1 & 1 \\ - & 1 & - & 1 \\ - & 1 & - & - \end{bmatrix} \begin{bmatrix} 1 \\ 2 \\ 5 \\ 6 \\ 7 \\ 10 \end{bmatrix}$$

$$x_4 = 1, x_5 = 0, x_6 = 0, x_8 = 0, x_9 = 0$$

$$x_2 = 1, x_3 = 1, x_4 = 1, x_5 = 0, x_6 = 0, x_8 = 0, x_9 = 0$$
 
$$cost(\mathbf{x}^1) = 3$$
 Recall that  $L = 3$ 

Therefore, we are done.

$$\mathbf{A} = \begin{bmatrix} x_1 & x_2 & x_3 & x_4 & x_5 & x_6 & x_7 & x_8 & x_9 \\ 1 & 1 & - & - & - & - & - & - & - \\ 1 & - & 1 & - & - & - & - & - & - \\ - & - & - & 1 & 1 & - & - & - & - \\ - & - & 1 & - & 1 & 1 & - & - & - \\ - & - & 1 & - & - & - & 1 & - & - \\ - & 1 & - & - & - & 1 & 1 & - & - \\ - & - & - & 1 & - & - & - & 1 & 1 \\ - & - & - & 1 & - & - & - & 1 & 1 \end{bmatrix}$$

$$\mathbf{A} = \begin{bmatrix} x_1 & x_2 & x_3 & x_5 & x_6 & x_7 & x_8 & x_9 \\ 1 & 1 & - & - & - & - & - & - \\ 1 & - & 1 & - & - & - & - & - \\ - & - & - & 1 & - & - & - & - \\ - & - & 1 & 1 & 1 & - & - & - \\ - & - & 1 & - & - & 1 & - & - \\ - & 1 & - & - & - & 1 & - & - \\ - & 1 & - & - & - & 1 & 1 & - \\ - & - & - & - & - & - & 1 & 1 \\ - & 1 & - & - & - & - & 1 & 1 \end{bmatrix}$$

$$\mathbf{A} = \begin{bmatrix} x_1 & x_2 & x_3 & x_7 \\ 1 & 1 & - & - \\ 1 & - & 1 & - \\ - & - & 1 & 1 \\ - & 1 & - & 1 \end{bmatrix} \quad \begin{array}{c} 1 \\ 2 \\ 6 \\ 7 \end{array}$$

$$x_4 = 0, x_5 = 1, x_6 = 1, x_8 = 1, x_9 = 1$$