A. Stolp 11/22/09, 1/22/15

Now that we've reviewed Laplace transforms of signals, we can move on to systems, the transfer function, and system block diagrams using blocks which contain transfer functions.

Consider a circuit:

This could be represented in as a block operator:

$$\mathbf{V}_{\mathbf{in}}(s) \longrightarrow \frac{\mathbf{L}_2 \cdot s + \mathbf{R}}{\left(\mathbf{L}_1 + \mathbf{L}_2\right) \cdot s + \mathbf{R}} \longrightarrow \mathbf{V}_{\mathbf{0}}(s) = \mathbf{V}_{\mathbf{in}}(s) \cdot \mathbf{H}(s)$$

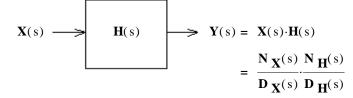
Transfer functions can be written for all kinds of devices and systems, not just electric circuits and the input and output do not have to be similar. For instance, the potentiometers used to measure angular position in the crude servo of lab 1 can be represented like this:

$$\theta_{in}(s) \longrightarrow Kp = 0.7 \cdot \frac{V}{rad} = 0.012 \cdot \frac{V}{deg} \longrightarrow V_{out}(s) = K_p \cdot \theta_{in}(s)$$

In general:

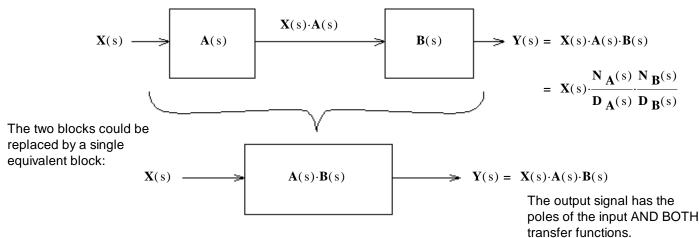
$$\mathbf{H}(s) = \frac{\text{output}}{\text{input}} = \frac{\mathbf{Y}(s)}{\mathbf{X}(s)}$$

X and Y could be anything from small electrical signals to powerful mechanical motions or forces.

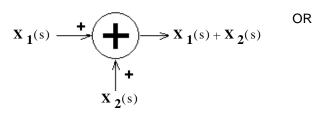


The output signal has the poles of both the input AND the transfer function.

### **Serial - path systems** Two blocks with transfer functions $\mathbf{A}(s)$ and $\mathbf{B}(s)$ in a row would look like this:

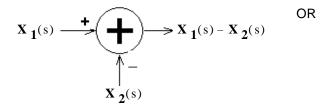


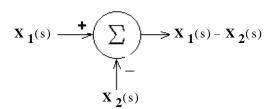
Summer blocks can be used to add signals:



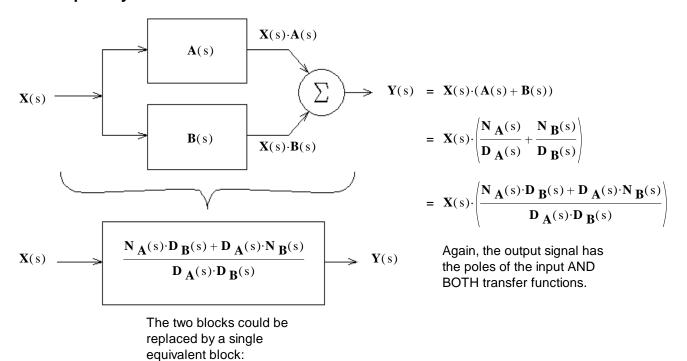
 $X_1(s) \xrightarrow{+} X_2(s)$   $X_2(s)$ 

or subtract signals:

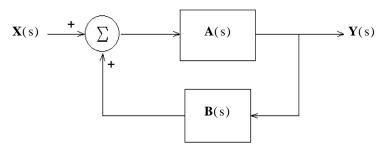




#### Parallel - path systems

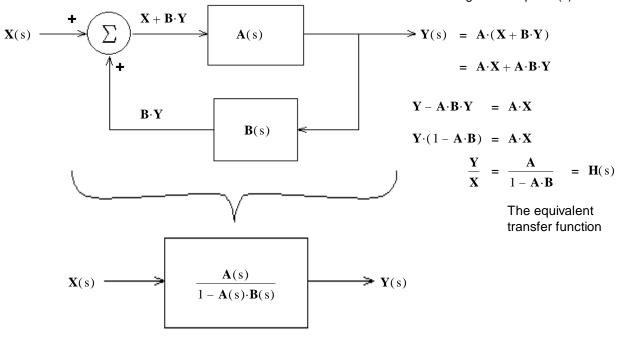


### A feedback loop system is particularly interesting and useful:



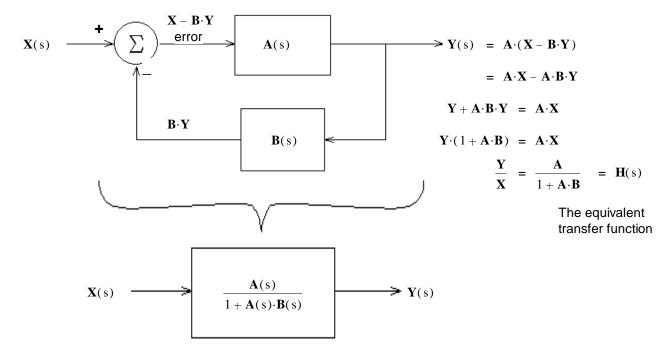
The entire loop can be replaced by a single equivalent block:

Note that I've begun to drop the (s)



 $\mathbf{A}(s) \cdot \mathbf{B}(s)$  is called the "loop gain" or "open loop gain"

Negative feedback is more common and is used as a control system:

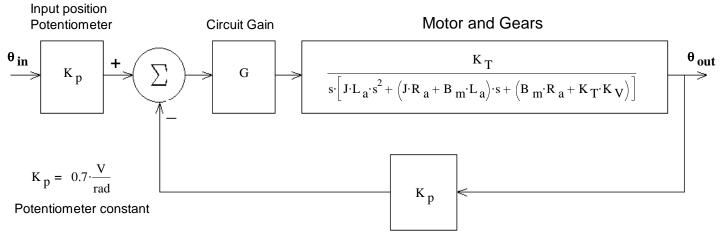


This is called a "closed loop" system, whereas a a system without feedback is called "open loop". The term "open loop" is often used to describe a system that is out of control.

# The output signal poles are <u>different</u> than either the poles of the input or the transfer functions.

Different poles means different characteristics! This implies that you might start with a stable system and make an unstable system or (more productively) start with an unstable system and make a stable system.

The servo used in our lab can be represented by:



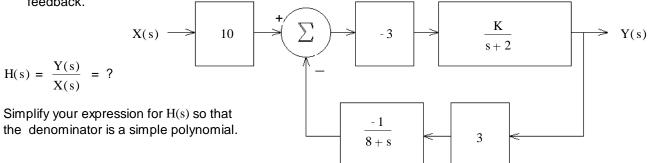
Motor Position Potentiometer

$$\mathbf{H}(s) = \frac{\mathbf{\theta}_{out}(s)}{\mathbf{\theta}_{in}(s)} = \frac{G \cdot K_T \cdot K_p}{s \cdot \left[J \cdot L_a \cdot s^2 + \left(J \cdot R_a + B_m \cdot L_a\right) \cdot s + \left(B_m \cdot R_a + K_T \cdot K_V\right)\right] + K_p \cdot G \cdot K_T}$$

See the appendix to lab 1 for the complete analysis

### **Examples**

**Ex. 1** a) A feedback system is shown in the figure. What is the transfer function of the whole system, with feedback.



Feedback loop:

Loop gain: 
$$L = \left(\frac{-3 \cdot K}{s+2}\right) \cdot \left(\frac{-1 \cdot 3}{8+s}\right)$$

Simplification:

$$A_{f} = \frac{\left(\frac{-3 \cdot K}{s+2}\right)}{1 + \left(\frac{-3 \cdot K}{s+2}\right) \cdot \left(\frac{-3}{8+s}\right)} \cdot \left[\frac{(s+2) \cdot (8+s)}{(s+2) \cdot (8+s)}\right] = \frac{(-3 \cdot K) \cdot (s+8)}{(s+2) \cdot (8+s) + (3 \cdot K) \cdot 3}$$

$$A_{f} = \frac{\left(\frac{-3 \cdot K}{s+2}\right)}{1 + \left(\frac{-3 \cdot K}{s+2}\right) \cdot \left(\frac{-3}{8+s}\right)}$$

$$= \frac{(-3 \cdot K) \cdot (s+8)}{(s+2) \cdot (8+s) + (3 \cdot K) \cdot 3}$$

$$= \frac{(-3 \cdot K) \cdot s - K \cdot 24}{2 + (3 \cdot K) \cdot 3 + (3 \cdot K) \cdot 3}$$

Whole system:

$$H(s) = 10 \cdot \frac{-3 \cdot K \cdot s - 24 \cdot K}{s^2 + 10 \cdot s + 16 + 9 \cdot K} = \frac{-30 \cdot K \cdot (s + 8)}{s^2 + 10 \cdot s + 16 + 9 \cdot K}$$

# ECE 3510 Transfer Function p5

b) Find the value of K to make the transfer function critically damped. Answer may be left as a fraction.

characteristic eq.:  $0 = s^2 + 10 \cdot s + 16 + 9 \cdot K$ 

to solve for the poles:  $s = \frac{-10 + \sqrt{10^2 - 4 \cdot (16 + 9 \cdot K)}}{2}$  at critical damping, the part under the radical is zero.

thus: 
$$10^2 = 4 \cdot (16 + 9 \cdot K)$$
  
 $100 = 64 + 36 \cdot K$   
 $K = \frac{100 - 64}{36} = \frac{36}{36} = 1$  solve for K

c) If K is less than the value found in part b), will the system be under-, critical-, or overdamped?

 $10^2 - 4 \cdot (16 + 9 \cdot K) > 0$  so it will be overdamped

d) If K = 5, find the pole(s) of the transfer function:

characteristic eq.:  $0 = s^2 + 10 \cdot s + 16 + 9 \cdot K = s^2 + 10 \cdot s + 61$ 

$$\frac{-10 + \sqrt{10^2 - 4.61}}{2} = -5 + 6j$$

$$\frac{-10 - \sqrt{10^2 - 4.61}}{2} = -5 - 6j$$

e) If K := 5, find the zero(s) of the transfer function:

+8 = 0 s = -8

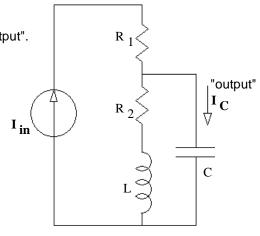
**Ex. 2** a) Find the transfer function of the circuit shown. Consider  $I_C$  as the "output".

Properly simplify all your expressions for  $\mathbf{H}(s)$ . By this I mean that he numerator and denominator should both be simple polynomials or factored polynomials. There should be no  $1/s^n$  terms in either the numerator or denominator. Also, there should be no coefficient on the highest-order term in the denominator

$$\mathbf{H}(s) = \frac{\mathbf{I}_{\mathbf{C}}(s)}{\mathbf{I}_{\mathbf{in}}(s)} = ?$$

Current divider:

ent divider: 
$$\frac{\overline{\left(\frac{1}{C \cdot s}\right)}}{\overline{\left(\frac{1}{C \cdot s}\right)} + \overline{\frac{1}{R_2 + L \cdot s}}} = \mathbf{I}_{in}(s) \cdot \frac{C \cdot s}{C \cdot s + \overline{\frac{1}{R_2 + L \cdot s}}}$$



$$\mathbf{H}(s) = \frac{\mathbf{I}_{\mathbf{C}}(s)}{\mathbf{I}_{\mathbf{in}}(s)} = \frac{\mathbf{C} \cdot s}{\mathbf{C} \cdot s + \frac{1}{\mathbf{R}_2 + \mathbf{L} \cdot s}} \cdot \frac{\left(\mathbf{R}_2 + \mathbf{L} \cdot s\right)}{\left(\mathbf{R}_2 + \mathbf{L} \cdot s\right)} = \frac{\mathbf{C} \cdot \mathbf{R}_2 + \mathbf{L} \cdot \mathbf{C} \cdot s^2}{\mathbf{C} \cdot \mathbf{R}_2 \cdot s + \mathbf{L} \cdot \mathbf{C} \cdot s^2 + 1} \cdot \frac{\left(\frac{1}{\mathbf{L} \cdot \mathbf{C}}\right)}{\left(\frac{1}{\mathbf{L} \cdot \mathbf{C}}\right)} = \frac{\frac{\mathbf{R}_2}{\mathbf{L}} \cdot s + s^2}{\frac{\mathbf{R}_2}{\mathbf{L}} \cdot s + s^2 + \frac{1}{\mathbf{L} \cdot \mathbf{C}}}$$

$$= \frac{s \cdot \left(s + \frac{R_2}{L}\right)}{s^2 + \frac{R_2}{L} \cdot s + \frac{1}{L} \cdot C}$$

- b) How many zeroes does this transfer function have?  $\, 2 \,$  ,  $\, 0 \,$  and  $\, R_2 / L \,$
- c) How many poles does this transfer function have? 2 at:  $\frac{R_2}{2 \cdot L} \pm \frac{1}{2 \cdot \sqrt{\frac{R_2}{L}}} = \frac{1}{2 \cdot L} \left( \frac{R_2}{L} \right)^2 \frac{4}{L \cdot L}$

### ECE 3510 Transfer Function p6

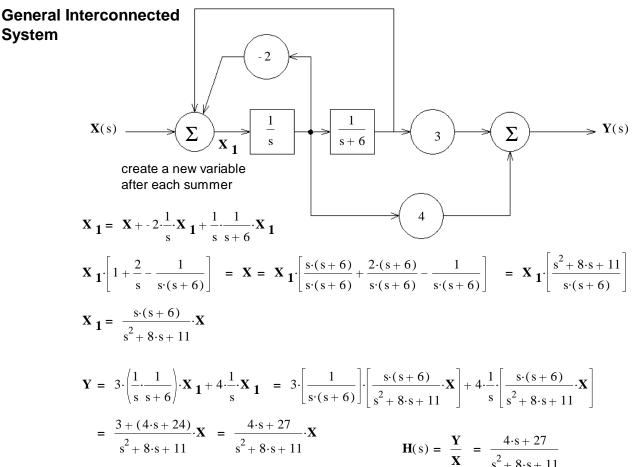
Ex. 3 a) Find the transfer function of the circuit shown.  $V_i$  is the input and  $V_O$  is the output.

$$\mathbf{H}(s) = \frac{\mathbf{V}_{\mathbf{0}}(s)}{\mathbf{V}_{\mathbf{i}}(s)} = \frac{\frac{1}{R_2 + C \cdot s}}{R_1 + L \cdot s + \frac{1}{R_2 + C \cdot s}} \qquad \frac{\frac{1}{R_2 + C \cdot s}}{\frac{1}{R_2} + C \cdot s} = \frac{1}{R_1 \cdot \left(\frac{1}{R_2} + C \cdot s\right) + L \cdot s \cdot \left(\frac{1}{R_2} + C \cdot s\right) + 1}$$

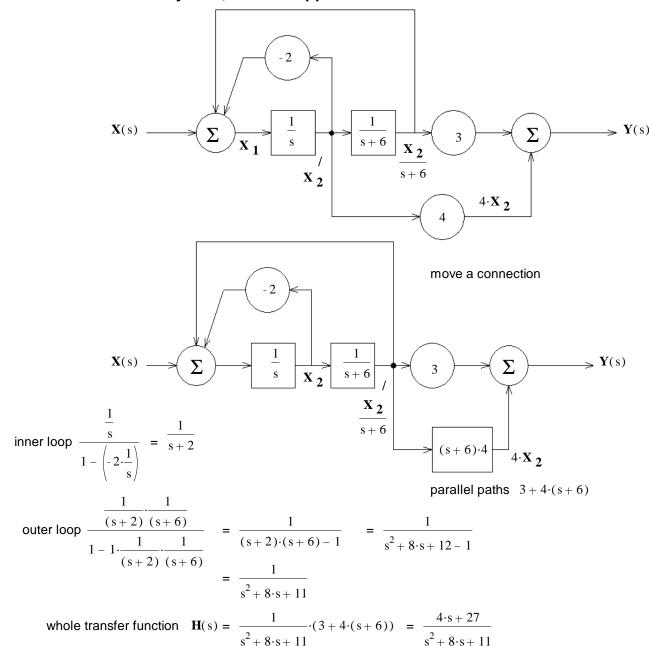
$$= \frac{1}{\frac{R_1}{R_2} + R_1 \cdot C \cdot s + \frac{L \cdot s}{R_2} + L \cdot s \cdot C \cdot s + 1} \qquad \frac{\frac{1}{LC}}{\frac{1}{LC}} = \frac{\frac{1}{R_1} \cdot \frac{1}{LC}}{\frac{R_1}{R_2} \cdot \frac{1}{LC}} + \frac{R_1 \cdot C}{\frac{R_1}{C}} \cdot s + \frac{L \cdot s}{R_2} \cdot \frac{1}{LC} + s^2 + \frac{1}{LC}$$

$$= \frac{\frac{1}{LC}}{s^2 + \left(\frac{R_1}{L} + \frac{1}{R_2 \cdot C}\right) \cdot s + \left(1 + \frac{R_1}{R_2}\right) \cdot \frac{1}{LC}}$$
b) Find the characteristic equation of the circuit shown.
$$0 = s^2 + \left(\frac{R_1}{L} + \frac{1}{R_2 \cdot C}\right) \cdot s + \left(1 + \frac{R_1}{R_2}\right) \cdot \frac{1}{LC}$$

- c) The solutions to the characteristic equation are called the \_\_\_\_\_ of the transfer function. Poles
- d) Does the transfer function have one or more zeros? If yes, express it (them) in terms of R<sub>1</sub>, R<sub>2</sub>, C, & L. NO



#### General Interconnected System, Another approach



# **Bounded-Input Bounded-Output (BIBO) Stable**

A system is considered BIBO stable if the output is bounded for any bounded input.

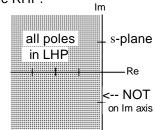
A bounded input could have single poles on the imaginary axis at any location.

A bounded output may not have double poles on the imaginary axis or any poles in the RHP (Right-half-plane). The output will have all the poles of the input plus all the poles of the system. (except in rare pole-zero cancellations.)

Therefore: A BIBO system may not have any poles on the imaginary axis or any poles in the RHP.

Examples of systems with poles on the imaginary axis: If the output of a DC motor is angular position of the shaft then it has a pole at the origin. The response to a DC input is a shaft that keeps turning and the position grows without bounds. This system is not BIBO stable. (If the output is shaft speed, then it would be BIBO stable.)

If a system has a pair of imaginary poles at  $\pm j\omega$ , then it has a resonant frequency of  $\omega$ . If the input also had a pair of imaginary poles at  $\pm j\omega$  then it would excite that resonance and the output would grow without bounds.

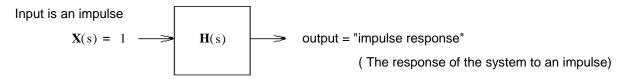


system transfer function poles

### Impulse Response

The Impulse response of a system is the output when the input is an impulse (delta function).

The simplest possible input: X(s) = 1



$$\mathbf{Y}(s) = \mathbf{X}(s) \cdot \mathbf{H}(s) = 1 \cdot \mathbf{H}(s) = \mathbf{H}(s)$$

A signal who's transform is the system's transfer function

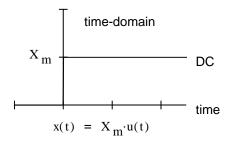
Of course, an impulse is a little impractical in real life.

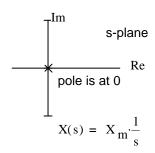
But, if you can approximate one, than you may be able to use it to characterize an unknown system.

Sometimes the term "impulse response" is used in place of the term "transfer function"

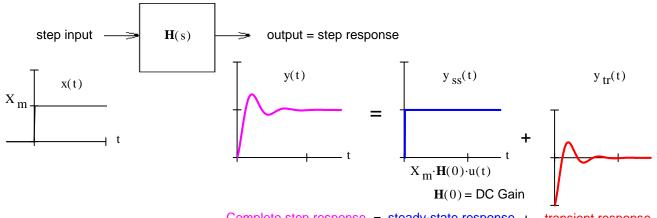
### Step Responses

The step response of a system is the output when the input is a step (DC which starts at time-zero). Step input





#### **System Step Response**



Complete step response = steady-state response + transient response

### **Steady-State Response & DC Gain**

$$\mathbf{Y}(s) = \mathbf{X}(s) \cdot \mathbf{H}(s) = \frac{X_{m}}{s} \cdot \mathbf{H}(s)$$

Complete step response

partial fraction expansion: 
$$\mathbf{Y}(s) = \frac{\mathbf{X}_m}{s} \cdot \mathbf{H}(s) = \frac{\mathbf{A}}{s} + \frac{\mathbf{B}}{(\mathbf{I})} + \frac{\mathbf{C}}{(\mathbf{I})} + \frac{\mathbf{D}}{(\mathbf{I})} + \dots$$

$$\begin{array}{c} \text{steady-} \\ \text{state} \end{array} + \begin{array}{c} \text{transient response} \end{array}$$

multiply both sides by 
$$s$$
  $X_m \cdot \mathbf{H}(s) = A + \left[ \frac{B}{(\mathbf{I})} + \frac{C}{(\mathbf{I})} + \frac{D}{(\mathbf{I})} \right] \cdot s$ 

set 
$$s := 0$$
  $X_m \cdot \mathbf{H}(0) = A + \left[ \frac{B}{(\mathbf{I})} + \frac{C}{(\mathbf{I})} + \frac{D}{(\mathbf{I})} \right] \cdot 0$ 

$$\mathbf{Y}_{\mathbf{SS}}(s) = \frac{\mathbf{A}}{s} = \frac{\mathbf{X}_{\mathbf{m}} \cdot \mathbf{H}(0)}{s}$$
  $\mathbf{y}_{\mathbf{SS}}(t) = \mathbf{X}_{\mathbf{m}} \cdot \mathbf{H}(0) \cdot \mathbf{u}(t)$   $\mathbf{H}(0) = \mathsf{DC} \, \mathsf{Gain}$ 

The transient part would be found by finishing the partial-fraction expansion.

### **Step Response of First-Order Systems**

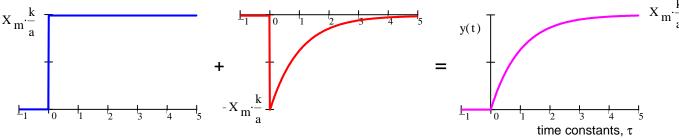
$$\mathbf{H}(s) = \frac{k}{s+a} = \frac{k}{s+\frac{1}{\tau}}$$

$$\mathbf{Y}(s) = \frac{X_m}{s} \cdot \frac{k}{s+a}$$

$$\mathbf{y}(t) = X_m \cdot \left(\frac{k}{a} - \frac{k}{a} \cdot e^{-a \cdot t}\right) \cdot \mathbf{u}(t) \quad \text{(ignoring initial conditions)}$$

a step plus an exponential curve

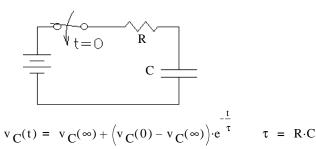
is the step response of a first-order system



All first-order systems have the same time-domain response:

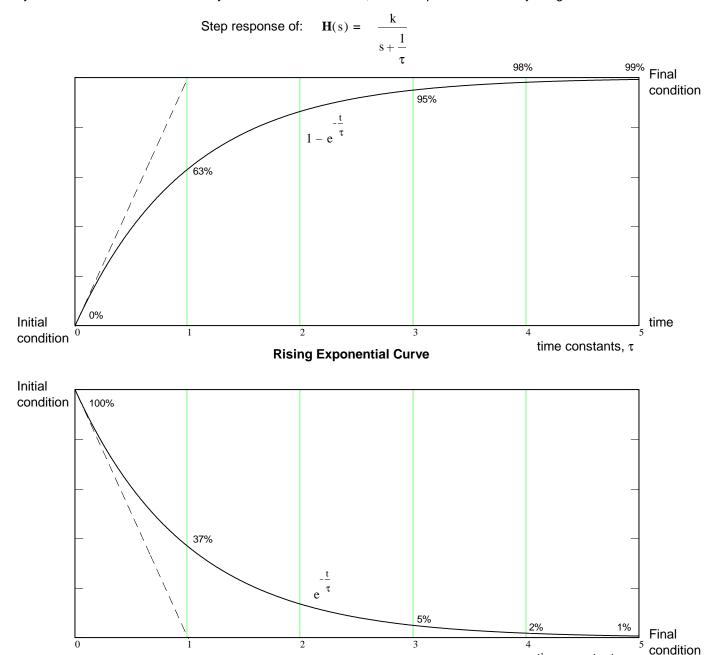
$$y(t) = y(\infty) + (y(0) - y(\infty)) \cdot e^{\frac{-t}{\tau}}$$
$$y(0) = \text{the initial condition}$$
$$y(\infty) = \text{the final condition}$$

A simple example of a first-order system



### **Exponential Curves**

Let's take a closer look at some of the characteristics of exponential curves, the output of stable first order system. The transient effects always die out after some time, so the exponents are always negative.



#### **Some Important Features:**

1) These curves proceed from an initial condition to a final condition. If the final condition is greater than the initial, then the curve is said to be a "rising" exponential. If the final condition is less than the initial, then the curve is called a "decaying" exponential.

**Decaying Exponential Curve** 

- 2) The curves' initial slope is  $\pm 1/\tau$ . If they continued at this initial slope they'd reach the final condition in one time constant.
- 3) In the first time constant the curve goes 63% from initial to the final condition.
- 4) By four time constants the curve is within 2% of the final condition and is usually considered finished. Mathematically, the curve approaches the final condition asymptotically and never reaches it. In reality, of course, this is nonsense. Whatever difference there may be between the mathematical solution and the final condition will soon be overshadowed by random fluctuations (called noise) in the real system.

time constants,  $\tau$ 

normalization to make curves below easier to compare

# **Step Response of Second-Order Systems** Real poles (over and critically damped)

A first-order system for reference

$$\mathbf{H}_{\mathbf{1}}(s) = \frac{k}{s+a} \qquad \qquad a \coloneqq 1 \qquad k \coloneqq a \qquad y_{\mathbf{1}}(t) \coloneqq \left(\frac{k}{a} - \frac{k}{a} \cdot e^{-a \cdot t}\right)$$

Second-order system, critically damped

$$\mathbf{H}_{2}(\mathbf{s}) = \frac{\mathbf{k}}{(\mathbf{s} + \mathbf{a})^{2}} \qquad \mathbf{a} := 1 \qquad \mathbf{k} := \mathbf{a}^{2} \qquad \mathbf{y}_{2}(\mathbf{t}) := \left(\frac{\mathbf{k}}{\mathbf{a}^{2}} - \frac{\mathbf{k}}{\mathbf{a}^{2}} \cdot \mathbf{e}^{-\mathbf{a} \cdot \mathbf{t}} - \frac{\mathbf{k}}{\mathbf{a}} \cdot \mathbf{t} \cdot \mathbf{e}^{-\mathbf{a} \cdot \mathbf{t}}\right)$$

double pole on real axis

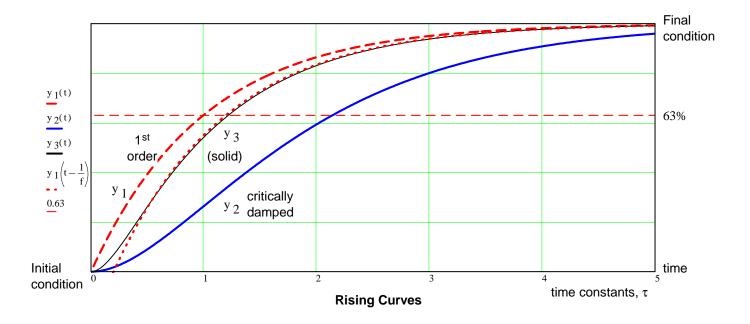
Second-order system, over damped

$$\mathbf{H}_{3}(s) = \frac{k}{(s+a_{1}) \cdot (s+a_{2})}$$

$$\mathbf{a}_{\ 1} := \mathbf{a} \qquad \qquad \mathbf{f} := \mathbf{5} \qquad \qquad \mathbf{a}_{\ 2} := \mathbf{f} \ \mathbf{a}_{\ 1} \qquad \qquad \mathbf{k} := \mathbf{a}_{\ 1} \cdot \mathbf{a}_{\ 2} \quad \text{normalization}$$

$$k := a_1 \cdot a_2$$
 normalization

$$y_3(t) := \left[ \frac{k}{a_1 \cdot a_2} + \frac{k}{a_1 \cdot (a_1 - a_2)} \cdot e^{-a_1 \cdot t} + \frac{k}{a_2 \cdot (a_2 - a_1)} \cdot e^{-a_2 \cdot t} \right]$$



#### **Some Important Features:**

- 1) The poles closest to the  $j\omega$  axis are the **dominant** poles.
- 2) Poles to the left of the dominant poles may introduce an effect that looks like time delay.
- 3) Conversely, the effects of a time delay (non-linear) can sometimes be modeled by an extra pole (linear) to the left of the dominant poles.

# Step Responses of Under-Damped 2nd order Systems (Complex poles)

$$\mathbf{H}(s) = \frac{k}{s^2 + 2 \cdot a \cdot s + a^2 + b^2} = \frac{k}{\omega_n^2} \cdot \frac{\omega_n^2}{s^2 + 2 \cdot \zeta \cdot \omega_n \cdot s + \omega_n^2}$$

 $\omega_n^2 = a^2 + b^2$   $\omega_n$  = natural frequency

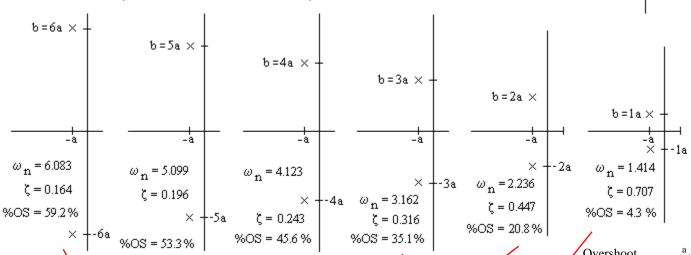
DC gain

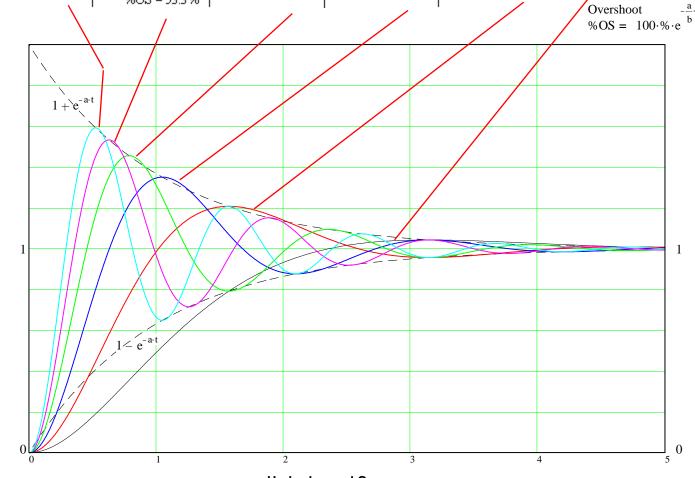
$$\zeta \cdot \omega_n = a$$

$$\mathbf{H}(0) = \frac{\mathbf{k}}{\mathbf{a}^2 + \mathbf{b}^2} = \frac{\mathbf{k}}{\omega_n^2}$$

$$\mathbf{H}(0) = \frac{\mathbf{k}}{\mathbf{a}^2 + \mathbf{b}^2} = \frac{\mathbf{k}}{\omega_n^2} \qquad \qquad \zeta = \frac{\mathbf{a}}{\omega_n} = \frac{\mathbf{a}}{\sqrt{\mathbf{a}^2 + \mathbf{b}^2}} = \operatorname{damping factor}$$

$$y(t) = x_{m} \cdot \mathbf{H}(0) \cdot \left(1 - e^{-a \cdot t} \cdot \cos(b \cdot t) - \frac{a}{b} \cdot e^{-a \cdot t} \cdot \sin(b \cdot t)\right)$$
 ( curves below are normalized so  $\mathbf{H}(0) = 1$  )





A first-order system for reference

$$\mathbf{H}_{\mathbf{1}}(\mathbf{s}) = \frac{\mathbf{k}}{\mathbf{s} + \mathbf{a}}$$

$$\mathbf{k} := \mathbf{a}$$

$$a := 1$$
  $k := a$   $y_1(t) := \left(\frac{k}{a} - \frac{k}{a} \cdot e^{-a \cdot t}\right)$ 

An overdamped system with a single zero

$$\mathbf{H}(s) = \frac{k \cdot (s+z)}{\left(s+a_1\right) \cdot \left(s+a_2\right)}$$

$$\mathbf{Y}(s) = \frac{X_{m}}{s} \cdot \frac{k \cdot (s+z)}{(s+a_{1}) \cdot (s+a_{2})}$$

k is normalized so the curves below will not reach the same final condition.

$$z := 1.6$$
  $k := \frac{a_1 \cdot a_2}{z}$ 

$$y_{4}(t) := \left[ \frac{k \cdot z}{a_{1} \cdot a_{2}} + \frac{k \cdot (z - a_{1})}{a_{1} \cdot (a_{1} - a_{2})} \cdot e^{-a_{1} \cdot t} + \frac{k \cdot (z - a_{2})}{a_{2} \cdot (a_{2} - a_{1})} \cdot e^{-a_{2} \cdot t} \right]$$

$$z := 1.2$$
  $k := \frac{a_1 \cdot a_2}{z}$ 

$$k := \frac{a_1 \cdot a_2}{z} \qquad y_5(t) := \left[ \frac{k \cdot z}{a_1 \cdot a_2} + \frac{k \cdot (z - a_1)}{a_1 \cdot (a_1 - a_2)} \cdot e^{-a_1 \cdot t} + \frac{k \cdot (z - a_2)}{a_2 \cdot (a_2 - a_1)} \cdot e^{-a_2 \cdot t} \right]$$

$$z := 0.8$$
  $k := \frac{a_1 \cdot a_2}{z}$ 

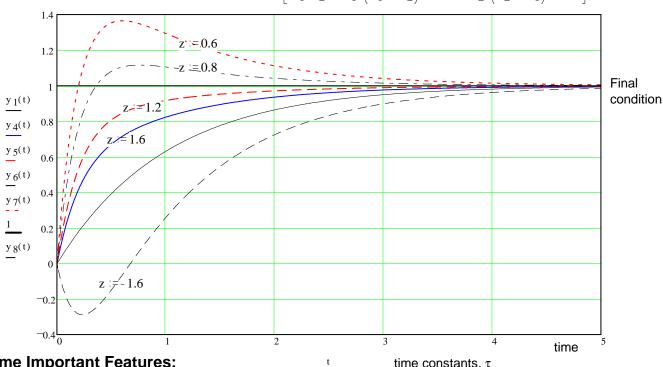
$$z := 0.8 \qquad k := \frac{a_1 \cdot a_2}{z} \qquad y_6(t) := \left[ \frac{k \cdot z}{a_1 \cdot a_2} + \frac{k \cdot (z - a_1)}{a_1 \cdot (a_1 - a_2)} \cdot e^{-a_1 \cdot t} + \frac{k \cdot (z - a_2)}{a_2 \cdot (a_2 - a_1)} \cdot e^{-a_2 \cdot t} \right]$$

$$z := 0.6$$
  $k := \frac{a_1 \cdot a_2}{z}$ 

$$k := \frac{a_1 \cdot a_2}{z} \qquad y_7(t) := \left[ \frac{k \cdot z}{a_1 \cdot a_2} + \frac{k \cdot (z - a_1)}{a_1 \cdot (a_1 - a_2)} \cdot e^{-a_1 \cdot t} + \frac{k \cdot (z - a_2)}{a_2 \cdot (a_2 - a_1)} \cdot e^{-a_2 \cdot t} \right]$$

$$z := -1.6$$
  $k := \frac{a_1 \cdot a_2}{z}$ 

$$k := \frac{a_1 \cdot a_2}{z} \qquad y_8(t) := \left[ \frac{k \cdot z}{a_1 \cdot a_2} + \frac{k \cdot (z - a_1)}{a_1 \cdot (a_1 - a_2)} \cdot e^{-a_1 \cdot t} + \frac{k \cdot (z - a_2)}{a_2 \cdot (a_2 - a_1)} \cdot e^{-a_2 \cdot t} \right]$$



### Some Important Features:

- time constants,  $\tau$
- 1) The zero (z) is in the LHP if z is positive.
- 2) If the zero is closer to the origin than the poles, than it can cause overshoot and/or significant steady-state error.

#### Remember this one

- 3) The steady-state error will be 100% (no DC gain) if the zero is at the origin. The zero is at the origin cancels the pole of the DC (step) input. (The system has a differentiator.)
- 4) A zero in the RHP (non-minimum phase zero) can cause undershoot or a negative DC gain.